

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Brinker Neil David						ADVANCED ENERGY INDUSTRIES INC [ AEIS ]								Director		10%	Owner	
(Last)	(Last) (First) (Middle)				3. Г	3. Date of Earliest Transaction (MM/DD/YYYY)							X_ Officer (give title below) Other (specify below) EVP, COO					
1625 SHARP POINT DRIVE						2/24/2020												
(Street)					4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)							YY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
FORT COLLINS, CO 80525 (City) (State) (Zip)													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
									•					eficially Own			ı	
1.Title of Security (Instr. 3)					2A. Deer Execution Date, if a		n (Instr. 8)		or Disposed of (Instr. 3, 4 and		D) Fol		Amount of Securities Beneficially Owned Following Reported Transaction(s) Instr. 3 and 4)			Ownership of Ir Form: Bend Direct (D) Own	Beneficial Ownership	
								Code	V	Amou	(A) or (D)	Pric	e				or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock				2/24/2	2020			F		1516	<u>1)</u> <b>D</b>	\$62.9	97	1	7124 (2)		D	
	Tab	ole II - Dei	rivative	Secu	rities l	Bene	eficially	Owned (	e.g.,	puts,	calls, wa	rran	ıts, op	ptions, conve	tible secu	urities)		
	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Dee Execution Date, if a	on (I	. Trans. (Instr. 8)	5. Code Derivative Acquired Disposed (Instr. 3,		e Securities (A) or of (D)	6. Date Exercisable and Expiration Date		7. Title and Securities U Derivative S (Instr. 3 and		nderlying Security	Derivative	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Cod	Code	V	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amou Share	unt or Number of		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) Payment of tax liability by witholding securities incident to vesting of restricted stock units.
- (2) Represents 12,599 shares of unvested restricted stock units and 4,525 shares of common stock.

#### Reporting Owners

1 0								
Penarting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Brinker Neil David								
1625 SHARP POINT DRIVE			EVP, COO					
FORT COLLINS, CO 80525								

### **Signatures**

/s/ Thomas O. McGimpsey - Attorney-in-Fact 2/26/2020

\*\*Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.